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Complete if Known

Application Number	10/659,509
Filing Date	September 10, 2003

First Named Inventor	Ronald J. Nachman
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Examiner Name	M. Borin
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Art Unit	1631
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Attorney Docket No. 0166.03

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$120.00

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None Other (please identify): _____

☒ Deposit Account Deposit Account Number: 50-2132 Deposit Account Name: USDA-ARS-OTT

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**

☒ Charge any additional fee(s) or underpayments of fee(s)
Under 37 CFR 1.16 and 1.17

☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee(\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

2. EXCESS CLAIM FEES		Small Entity
Fee Description	Fee (\$)	Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	
- 20 or HP =	x	50.00	=	100.00	
				Fee (\$)	Fee Paid (\$)

HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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$$-3 \text{ or } HP = \frac{\quad \times \quad}{\text{number of independent claims paid for, if greater than 3}} = \quad$$

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity)

for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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 - 100 = / 50 = (round up to a whole number) x =

4. OTHER FEE(S)

Fees Paid (\$)

Non-English Specification, \$130 fee (no small entity discount)

Other: 1 month extension	120.00
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SUBMITTED BY

Signature

Registration No. 34,078
(Attorney/Agent)

Telephone: 309/681-6515

Name (Print/Type)	RANDALL E. DECK
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Date February 3, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and) by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 1.

September 10, 2003

(Date of Signature)

Signature of Depositor

PTO/SB/13/(11-96)
Approved for use through 06/30/99. OMB 0651-0033

Patent and Trademark Office; U.S. DEPARTMENT OF
COMMERCE

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REQUEST FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.53(b)

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
0166.03	CLASS	SUBCLASS	M. Borin .	1631
	530			

Address to:

Assistant Commissioner for Patents
Washington, D. C. 20231

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b), of pending prior
Application Number 09 / 680,201, filed on January 19, 2001 entitled Mimetic Insect Allatostatin Analogs for
Insect Control

1. Enclosed is a copy of the latest inventor-signed prior application, including a copy of the oath or declaration showing the original signature or an indication it was signed. I hereby verify that the papers are a true copy of the latest signed prior application number 09 / 680,201, and further that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16 _(a))	19 - 20 =	1	X \$ <u>18.00</u> =	\$ -
	INDEPENDENT CLAIMS (37 CFR 1.16 _(b))	1 - 3 =	-	X \$ <u>84.00</u> =	\$
	MULTIPLE DEPENDENT CLAIMS (If applicable) (37 CFR 1.16 _(c))			X \$ _____ =	\$ -
				BASIC FEE (37 CFR 1.16 _(d))	+ 750.00
				Total of Above Calculations =	\$ 750.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				
	TOTAL =				\$ 750.00

2. ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27
☐ is enclosed.
☐ was filed in prior application number ____ / _____, and such status is till proper and desired (37 CFR 1.28 (a)).
3. ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 50-2132. A duplicate copy of this sheet is enclosed.
4. ☐ A check in the amount of \$ _____ is enclosed.
5. ☒ Cancel in this application original claims 20 - 40 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
6. ☒ The inventor(s) of the invention being claimed is (are): **Ronald J. Nachman and Peter E. A. Teal**
7. ☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 CFR 1.53(b), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application:
8. ☒ Amend the specification by inserting before the first line the sentence: "This application is a ☒ continuation ☐ division of application number 09 / 680,201, filed January 19, 2001, (pending) which is a division of application number 09/191,906, issued as 6,207,643, March 27, 2001 the contents of each of which are incorporated by reference herein."

[Page 1 of 2]

Burden hour Statement; This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.

(REQUEST FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.53(b), PAGE 2)

9. ☐ New formal drawings are enclosed.
10. ☐ Priority of foreign application number _____, filed on _____ in _____ is claimed under 35 U.S.C. 119(a) - (d).
11. ☐ A preliminary amendment is enclosed.
12. ☒ The prior application is assigned of record to The United States of America, as represented by the Secretary of Agriculture; Recordation Date 02/02/1999, Reel/Frame 9749/0689
13. ☒ Also enclosed:
1) Fee Transmittal FY 2003 (2 orig.)
2) Application Data Information Sheet
3) Utility Transmittal Form
14. ☒ The power of attorney in the prior application is to: M. Howard Silverstein (22,699), John D. Fado (27,876); Curtis P. Ribando (27,976); Randall E. Deck (34,078) and Joseph A. Lipovsky (34,526)
- a. ☒ The power of attorney appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record.)

☐ Customer Number

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OR

☒ Firm or Individual Name

Randall E. Deck, Patent Advisor

Address USDA-ARS-NCAUR-Office of Technology Transfer

Address 1815 N. University Street

City Peoria State Illinois ZIP 61604

Country USA

Telephone 309/681-6515 Fax 309/681-6688

9/10/03
Date

Signature

Randall E. Deck

Typed or Printed Name

- ☐ Inventor(s)
- ☐ Assignee of complete Interest. Certification under 37 CFR 3.73(b) is enclosed.
- ☒ Attorney or Agent of Record
- ☐ Filed under 37 CFR 1.34(a)
Registration number if acting under 37 CFR 1.34(a) _____

CORRESPONDENCE RECEIPT

New Application
Continuation

P.C. 0166.03 - Ronald J. Nachman et al.

- Application Data Sheet (2 pgs.)
- FY 03 Fee Transmittal (2 orig.)
- Request for Filing Patent Appl. Under 37 CFR 1.53(b) (2 pgs.)
- Utility Patent Transmittal (1 pg.)
- Specification (41 pgs.)
 - Copy of Declaration (3 pgs.)
- Statement of Sequence ID (1 pg.)
 - Diskette Containing Sequence Listing
 - Paper Copy of Sequence Listing (4 pgs.)
- Drawings (FIG 1, FIG 2, FIG 3, FIG 4)

Express C.M. ET 289107978 US - 09/10/03

